

7. Contact Person for Inspections: _____ Phone number: _____
 Email: _____
8. Contact Person for Sampling / Results: _____ Phone number: _____
 Email: _____
9. Manager: _____ Phone number: _____ Email: _____
10. Other industrial hemp and/or medical cannabis licenses currently or previously held by applicant/entity/principals: _____

11. Ownership Information

*An owner is a person who, if the company is privately held, has a financial **or voting interest** of 2% or greater in the cannabis production establishment; or if the entity is publicly traded has more than a 2% financial interest in the company; or is an individual who has the power to direct or cause the management or control of a facility, in other words is a general manager of daily operations.*

List all Owners and their positions in the Company.

Legal Name (First & Last)	Role in the Company's Finances and Decisions	Listed on initial Application (Yes/ No)	Agent Card (Yes/ No)

Processing Facility Information

12. Application for: Tier 1 Tier 2
13. Days open for business (projected): _____ Days / hours of operation: _____

Cultivation Establishment Property Information

14. Submit for Company's Current Indoor Cannabis Processing Facility a Blueprint with:
1. the square footage of the areas where cannabis is extracted;
 2. the square footage of the area(s) where cannabis products are manufactured;
 3. location of all extraction machinery/ stationary equipment;
 4. the square footage of the areas used for storage and what type of product is stored there (i.e crude oil, untested products, final tested product) ;
 5. the areas where cannabis is to be dried, trimmed, and cured (if applicable) ;
 6. the square footage of the areas where cannabis is to be packaged;
 7. the location of the toilet facilities and hand washing facilities;
 8. the location of a break room;
 9. the location of lobby or area where non-agents can access.
 10. the location of the areas to be used for loading and unloading of cannabis products for transportation; and
 11. the location of all cameras and external lights.

Operating Plan (Confidential)

15. List all product types that will be produced in the upcoming year.
16. List all extraction methods used at the facility. Include all solvents, chemicals, and equipment used.
17. Is the facility doing CBD to THC conversion? If yes, outline the facility's methods.
18. Provide all written emergency procedures for Fire, Chemical Spills, and other Emergencies. *This information plus the Material Safety Data Sheet (MSDS) must be easily accessed by all employees.*
19. Submit the cannabis cultivation facility's most up-to-date security plan. Security plans shall include:
 - a) description of security alarm system
 - b) person(s) notified of potential security breaches and alerts
 - c) Video Storage Device location: local or cloud storage
 - d) Procedures to provide UDAF inspectors immediate access to current and archived video footage when requested.
 - e) any additional security measure in place that exceed the security requirements outlined in R68-28-6
20. Provide the facility's storage protocols, both short and long-term, to ensure all cannabis is stored in a manner that is sanitary and preserves the integrity of the cannabis in accordance to R68-28-9.
21. Provide the medical cannabis processing facility's written plan and procedures to handle potential recalls in accordance to R68-28-14. Include:
 - a) The name(s) of persons designated as recall coordinator (R68-28-14(2a))
 - b) The contact information for how reach staff member(s)
 - c) How will affected parties be notified
 - d) A written procedure with specifics of what to do in case of a product recall.
22. Submit procedures on how the facility will dispose of Product that fails quality assurance testing. A destruction plan must be written for every product type produced at the processing facility, and must be in compliance with federal and state laws laid out in Utah Code 4-41a-405 and Rule R68-27-15 Cannabis Waste Disposal.
23. Detail the procedures the cannabis facility has employed to meet the transport and transfer requirements of Utah Administrative Rule R68-28-13, Transportation. Include the make and model of every vehicle the company will use to transport Cannabis Material.

Compliance

24. Submit proof of a \$50,000 performance bond issued by a surety business.
25. Submit proof all scales used in the facility are certified, as outlined in Utah Administrative Rule 68-28-4 (8). Visit <https://ag.utah.gov/businesses/regulatory-services/weights-measures/> for more information.
26. Submit proof of registration as a Manufactured Food Establishment with UDAF's Regulatory Services.
27. Submit a current local business license or permit from the city/municipality.
28. Submit the names of all agents currently working at the company's cultivation facility. All agents listed must:
 - a) be in the process of applying for an agent card in the EVS; or
 - b) have an agent card and have an account in the EVS.

The Facility is responsible for ensuring all employees have background checks and are registered in the EVS. The company is also responsible for collecting agent cards and notifying the Department when an agent leaves their facility. Failure to comply will result in a citation and a fine to the company.
29. Attach all approved Change Requests to the application.

Licensee understands the current statute and rules are subject to change. Licensees agrees as a condition of licensing that they have read and will abide by the provisions of Utah Code 4-41a and all rules promulgated there under all directives of the Utah Department of Agriculture and Food. The licensee also understands that failure to adhere to or maintain the qualifications of their license, may result in suspension or revocation of the license and/or forfeiture of the performance bond or any other remedies allowed by law.

Licensee agrees to immediately notify the department of any change in ownership or financial interest of the facility; the facility's name, change in

location, change in equipment, remodeling, expansion, reduction or physical non-cosmetic alteration of the facility, change in written operating procedures, or change in any information submitted in this application in accordance with Utah Administrative Rule 68-27-13.

The undersigned acknowledges that representatives of the Utah Department of Agriculture and Food may inspect the records and facility of a cannabis production establishment at any time during business hours to determine and ensure the cannabis production establishment is in compliance with the law. Failure to provide the department or the department's authorized agent's immediate access to records and facilities during business hours in accordance with this section may result in a civil monetary penalty; license or registration suspension or revocation; or an immediate cessation of operations under a cease and desist order issued by the department.

Disclaimer

The Licensee acknowledges and understands that cultivating, possessing, using, distributing and/or selling marijuana is prohibited by federal law, notwithstanding Utah law or any authorizations in the Agent or this Registration to the contrary.

Nothing in this Application is intended to provide any guidance or assistance in violating or complying with existing federal laws regulating marijuana cultivation, distribution, or use. Similarly, compliance with state law or the terms of this registration, or possession of the registration card does not confer immunity from enforcement of federal law or federal enforcement practices. Further, nothing in this application or the registration card shall be construed as advice with regards to compliance with applicable federal, state, or local tax laws or any regulatory consequences of engaging in any business in this industry.

The undersigned acknowledges that he/she has read and understands the statements herein and the execution thereof is done voluntarily and by the authorization of the applicant entity.

The undersigned hereby makes application to the Utah Department of Agriculture and Food and certifies that the information contained herein and attached here is true and correct.

Applicant/Owner of business

Title/Position

Applicant Signature

Date